

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rengarajan, et al.

Serial No.: 09/000,626

Filed: December 30, 1997

For: RECESSED SHALLOW TRENCH ISOLATION
STRUCTURE NITRIDE LINER AND METHOD
FOR MAKING SAME

Atty Dkt No.: 97 P 7971 US

CERTIFICATE OF MAILING
PURSUANT TO 37 CFR § 1.

I hereby certify that this correspondence
is being deposited with the United States
Postal Service with sufficient postage as
first class mail in an envelope addressed
to:

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Stanton C. Braden
Reg. No. 32,556

Date

5/16/98

Assistant Commissioner
for Patents
Washington, DC 20231

Attn: BOX MISSING PARTS

RESPONSE TO NOTICE

Sir:

This is a response to the Notice To File Missing Parts Of Application: Filing Date
Granted, dated April 2, 1998, and for which a period for response of two (2) months from
the date of said Notice is set to expire June 2, 1998. Transmitted herewith is:

- (1) A copy of said Notice; and
- (2) an appropriately executed Declarations.

09000626

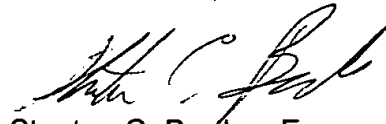
05/18/1998 JARSING 00000008 131

01 FC:103 130.00 CH

Atty Dkt. No.: 97 P 7971 US
Serial No.: 09/000,626
Page 2

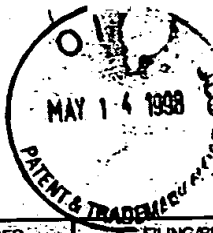
The Commissioner is hereby authorized to charge Deposit Account No. 19-2179 for a surcharge of \$130.00 in accordance with 37 C.F.R. 1.16(e). A triplicate copy of this Response is enclosed. Issuance of a formal filing receipt is respectfully solicited.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Stanton C. Braden", is written over a horizontal line.

Stanton C. Braden, Esq.
Reg. No. 32,556

Siemens Corporation
Intellectual Property Department
186 Wood Avenue South
Iselin, NJ 08830
(732) 321-3150



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/000 626 / 12/30/97 / RENGARAJAN / R / 37/P/7921/US

03627/0402

SIEMENS
INTELLECTUAL PROPERTY DEPARTMENT
186 WOOD AVENUE SOUTH
ISELIN NJ 08830

NOT ASSIGNED

DATE MAILED: 1763
04/02/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 150

☒ 1. The statutory basic filing fee is:
☐ missing
☐ insufficient.
Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____ including any multiple dependent claim fees, are required.
\$ _____ for _____ independent claims over 3.
\$ _____ for _____ dependent claims over 20.
\$ _____ for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:
☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts"

A copy of this notice MUST be returned with the reply.

[Signature]
Customer Service Center